

Case Study - I

Client Overview

One of India's largest NBFCs approached us during their IPO planning phase.



Scope of Compliance

► Managed Compliance for

3,800 Branches

► Covered a Workforce of

20,000 Employees

across these Branches

Key Challenges



Decentralised compliance processes across multiple locations



Manual register processing



Lack of understanding of applicable laws and compliance requirements



Difficulty in tracking regulatory changes

Key Benefits Delivered



Implemented an integrated 360-degree compliance tracking solution providing a centralised, drilled down dashboard across multiple locations and laws.



Integrated with Simpliance E-Library, India's largest regulatory compliance repository.



Automated task lists and due date updates based on regulatory amendments.



Provided real-time insights and provision to generated over 20 detailed reports.



Digitised register processing with data validation.

Outcome

Streamlined compliance processes, facilitating progress towards larger business objectives.

